

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Yumman CHAN et al. Confirmation No.: 8990  
Application Number : 10/033,746  
Filed : December 19, 2001  
Title : METHOD AND APPARATUS FOR EDITING A PRODUCTION  
DATA STORE BY SHADOWING CONTENT  
TC/Art Unit : 2166  
Examiner: : Isaac M. Woo  
  
Docket No. : 0006.0016  
Customer No. : 61539

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. Please remove the priority claim to the Canadian Patent Application No. 2,365,372 as shown in red ink on the attached copy. Also enclosed is a copy of the Declaration and Power of Attorney indicating that priority is not claimed in the present application.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

Dated: April 26, 2007

By: Barbara A. Fisher  
Barbara A. Fisher  
Reg. No. 31,906



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/033,746	12/19/2001	2171	2148	CA920010082US1	8	63	9

CONFIRMATION NO. 8990

24852  
 INTERNATIONAL BUSINESS MACHINES CORP  
 IP LAW  
 555 BAILEY AVENUE , J46/G4  
 SAN JOSE, CA 95141

UPDATED FILING RECEIPT



\*OC000000007818820\*

*Rm6*

Date Mailed: 04/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Yumman Chan, Rexdale, CANADA;  
 David B. Flexer, Accord, NY;  
 Miu-To Brenda Lam, North York, CANADA;  
 Wan Ngai W. Lee, North York, CANADA;

**Assignment For Published Patent Application**

International Business Machines Corporation, Armonk, NY;

**Domestic Priority data as claimed by applicant****Foreign Applications**~~CANADA 2365372 12/16/2001~~**If Required, Foreign Filing License Granted 01/31/2002****Projected Publication Date: 06/19/2003****Non-Publication Request: No****Early Publication Request: No****Title**

Method and apparatus for editing a production data store by shadowing content

Preliminary Class

707

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### METHOD AND APPARATUS FOR EDITING A PRODUCTION DATA STORE BY SHADOWING CONTENT

the specification of which (check one)

is attached hereto.

was filed on 12/19/2001 as United States Application Number or PCT International Application Number. 10/033,746 and was amended on MM/DD/YYYY (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or (f), or Section 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or PCT International application having a filing date before that of the application on which priority is claimed.

#### Prior Foreign Application(s):

Number	Country	Day/Month/Year Filed	Priority NOT Claimed	Certified Copy Attached?
2365372	Canada	18-Dec-2001	X	X

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Romualdas Strimaitis, Reg. #. 35,697  
 Gregory M. Plow, Reg. # 43,005  
 Richard M. Goldman, Reg. # 25,585  
 Stanley B. Green, Reg.# 24,351

Prentiss W. Johnson, Reg. # 33,123  
 Farrokh E. Pourmirzaie, Reg. # 45,297  
 Christopher A. Hughes, Reg. # 26,914  
 Joseph C. Redmond, Jr., Reg. # 18,753

Ingrid M. Foerster, Reg. # 36,511  
 Christine H. Smith, Reg. # 43,133  
 John E. Hoel, Reg. # 26,279

Customer Number: 24852

DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

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Send correspondence to: IBM Corporation  
Richard M. Goldman  
Intellectual Property Law  
555 Bailey Avenue (J46/G4)  
San Jose, CA 95141

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Direct Telephone Calls to: (name/telephone number) Richard M. Goldman, (408) 463-5891

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Full name of sole or first joint-inventor: Yumman Chan

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Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_

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Residence: 189 Westmore Drive, Rexdale, Ontario, M9V 4N2 Canada

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Citizenship: Canada

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Post Office Address: Same

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Full name of second joint-inventor: David B Flaxer

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Inventor's signature:  Date: 2/19/02

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Residence: 18 Breezy Hill Road, Accord, NY 12404

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Citizenship: USA

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Post Office Address: Same

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ull name of third joint-inventor: Miu-To Brenda Lam

nventor's signature:

Date:

Residence: 10 Cadmus Road, North York, Ontario, M2M 2M4 Canada

Citizenship: Canada

Post Office Address: Same

Full name of fourth joint-inventor: Wan Ngai W. Lee

nventor's signature:

Date:

Residence: 28 Hollywood Avenue, North York, Ontario M2N 6S4 Canada

Citizenship: Canada

Post Office Address: Same

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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San Jose, CA 95141

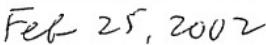
Direct Telephone Calls to: (name/telephone number) Richard M. Goldman, (408) 463-5891

Full name of sole or first joint-inventor: Yumman Chan

Inventor's signature:



Date:



Residence: 189 Westmore Drive, Rexdale, Ontario, M9V 4N2 Canada

Citizenship: Canada

Post Office Address: Same

Full name of second joint-inventor: David B Flaxer

Inventor's signature:

Date:

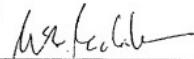
Residence: 18 Breezy Hill Road, Accord, NY 12404

Citizenship: USA

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ull name of third joint-inventor: Miu-To Brenda Lam

ventor's signature:



Date:

Feb 25, 2002

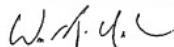
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ull name of fourth joint-inventor: Wan Ngai W. Lee

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